

MAY 25 2004



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To: Golam M. Shameem, Examiner  
Art Unit 1626  
U. S. Patent and Trademark Office

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Date: May 25, 2004  
# of pages: 14 (including this page)

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**Re: Response to Restriction Requirement**

U. S. Serial No.: 10/659,931

Examiner: Golam M. Shameem

Group Art Unit: 1626

Title: SODIUM CHANNEL MODULATORS

Attached is the following:

1. Transmittal Form (1 page)
2. Response to Restriction Requirement (12 pages)

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By: Barbara Bryant  
Barbara BryantDate: May 25, 2004Notice of Confidentiality

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PTO/SB/21 (04-04)

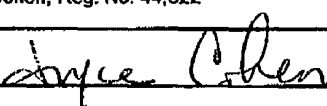
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/659,931	
	Filing Date	September 11, 2003	
	First Named Inventor	Seok-Ki CHOI	
	Art Unit	1626	
	Examiner Name	Golam M. SHAMEEM	
Total Number of Pages in This Submission	14	Attorney Docket Number	P-108-US2

ENCLOSURES (check all that apply)						
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):				
<table border="1"> <tr> <td>Remarks</td> <td>Enclosed are the following:</td> </tr> <tr> <td colspan="2">           1. Response to Restriction Requirement (12 pages)            2. This Transmittal Form (1 page)            3. Facsimile Transmission Cover Page (1 page)         </td> </tr> </table>			Remarks	Enclosed are the following:	1. Response to Restriction Requirement (12 pages) 2. This Transmittal Form (1 page) 3. Facsimile Transmission Cover Page (1 page)	
Remarks	Enclosed are the following:					
1. Response to Restriction Requirement (12 pages) 2. This Transmittal Form (1 page) 3. Facsimile Transmission Cover Page (1 page)						

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joyce G. Cohen, Reg. No. 44,622
Signature	
Date	May 25, 2004

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I hereby certify that this correspondence is being transmitted on the date shown below via facsimile to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
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Typed or printed name	Barbara Bryant		
Signature		Date	May 25, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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By:

Barbara Bryant  
Barbara Bryant

Date:

May 25, 2004

Patent  
Attorney Docket: P-108-US2  
Customer No. 27038

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )

Seok-Ki CHOI et al. )

Group Art Unit: 1626 )

Application No.: 10/659,931 )

Examiner: Golam M. Shameem )

Filed: September 11, 2003 )

For: SODIUM CHANNEL MODULATORS )

**RESPONSE TO RESTRICTION REQUIREMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully submit the following amendments and remarks in response to the Office Action mailed on May 5, 2004, for which a one month response period was designated. This response is considered timely filed on or before June 5, 2004.

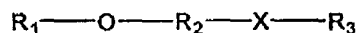
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Page 2

1. (previously amended) A compound of formula (I):

(I)

wherein:

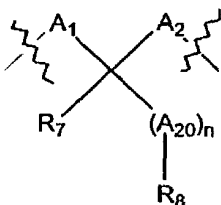


$R_1$  is aryl;

$R_2$  is a group of formula (II):

(II)

wherein



$A_1$ ,  $A_2$ , and  $A_{20}$  are each independently alkylene or substituted alkylene;

$n$  is 0 or 1;

$R_7$  is hydrogen, alkyl, or substituted alkyl;

$R_8$  is  $NR_{10}R_{11}$ , wherein each of  $R_{10}$  and  $R_{11}$  is independently hydrogen, alkyl, or substituted alkyl; and

$X$  is a direct bond and  $R_3$  is an N-linked heteroaryl or an N-linked heterocycle;

wherein any aryl of  $R_1$ - $R_3$  can optionally be substituted with from 1 to 5 substituents  $R_g$ ;

wherein each  $R_g$  is independently selected from the group consisting of hydroxy, alkyl, substituted alkyl, alkoxy, cycloalkoxy, substituted cycloalkoxy, methanediol, ethanediol, cycloalkyl, substituted alkyl, substituted alkoxy, substituted cycloalkyl, amino, substituted amino, aryl, aryloxy, carboxy, carboxylalkyl, carboxyl(substituted alkyl), cyano, halo, nitro, heteroaryl, heteroaryloxy, heterocyclic, heterocyclooxy, heteroaryl and trihalomethyl;

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and wherein any heteroaryl of  $R_2$ - $R_3$  can be optionally substituted with 1 to 5 substituents  $R_h$ , wherein each  $R_h$  is independently selected from the group consisting of hydroxy, alkyl, alkoxy, substituted alkoxy, cycloalkoxy, substituted cycloalkoxy, substituted alkyl, arylalkyl, heteroarylalkyl, heterocyclealkyl, substituted cycloalkyl, amino, substituted amino, aryl, aryloxy, carboxyl, carboxylalkyl, carboxyl(substituted alkyl), cyano, halo, nitro, heterocyclic, and trihalomethyl.

or a pharmaceutically acceptable salt thereof.

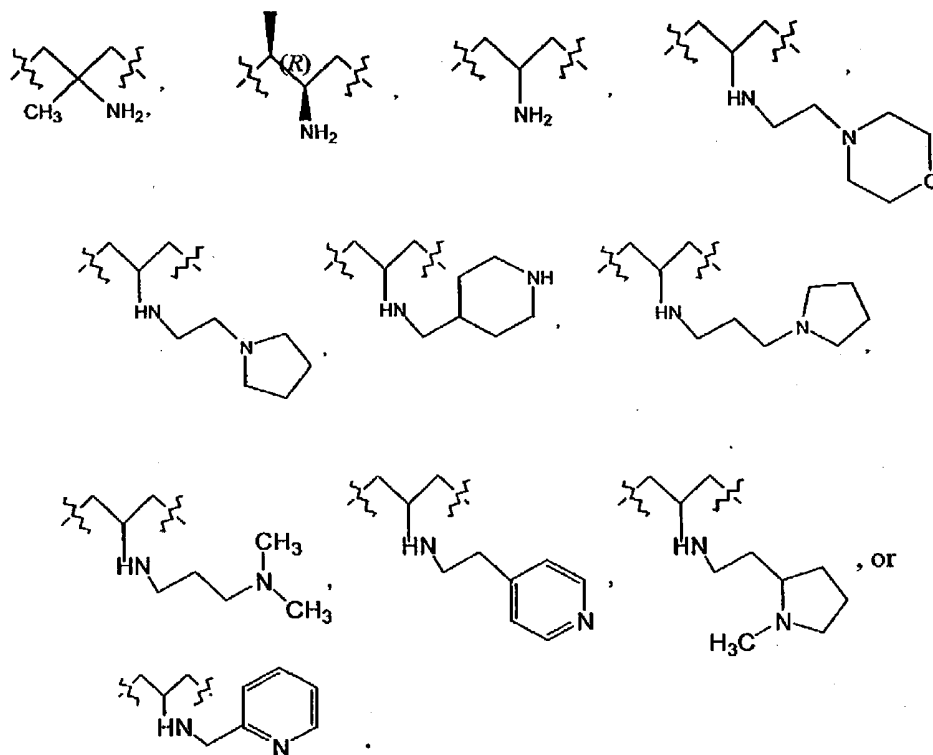
2. (original) The compound of claim 1 wherein  $R_1$  is aryl optionally substituted with one or more halo or alkyl.
3. (original) The compound of claim 1 wherein  $R_1$  is 2-methylphenyl, 2-chloro-6-methylphenyl, 2,4,6-trifluorophenyl, 2,6-dimethylphenyl, or 2,4-dimethylphenyl.
4. (original) The compound of claim 1 wherein  $A_1$  is methylene or 1,1-ethanediyl, and  $A_2$  is methylene.
5. (original) The compound of claim 1 wherein  $R_7$  is hydrogen or methyl.
6. (original) The compound of claim 1 wherein  $R_8$  is amino.
7. (original) The compound of claim 1 wherein  $n$  is 0.
8. (original) The compound of claim 1 wherein  $R_8$  is  $NR_{10}R_{11}$ ; and  $R_{11}$  is heterocyclealkyl, heteroarylalkyl, or alkyl.
9. (original) The compound of claim 1 wherein  $R_8$  is  $NR_{10}R_{11}$ ;  $R_{10}$  is hydrogen; and  $R_{11}$  is 2-morpholinoethyl, 2-(pyrrolidin-1-yl)ethyl, 4-piperidinylmethyl, 3-(*N,N*-dimethylamino)propyl, 2-(1-methyl-pyrrolidin-2-yl)ethyl, 2-(4-pyridyl)ethyl, or 3-(pyrrolidin-1-yl)propyl.

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10. (original) The compound of claim 1 wherein  $R_2$  is a group of the formula:



11. (original) The compound of claim 1 wherein  $X$  is a direct bond and  $R_3$  is 3,5-dimethylpyrazol-1-yl, 2-phenylimidazol-1-yl, 2-ethylimidazol-1-yl, 1-benzimidazolyl, 4-(methoxycarbonyl)imidazol-1-yl, 4-methyl-2-ethylimidazol-1-yl, or 4-phenyl-1-imidazol-1-yl.

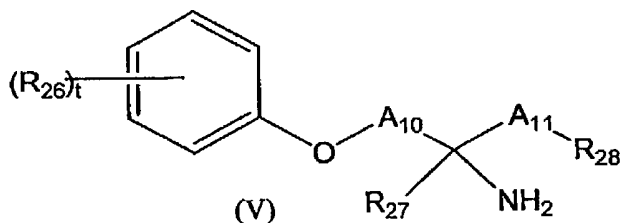
Claims 12-19 (canceled).

20. (currently amended) The compound of claim 1 which is a compound of formula (V):

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wherein:

$A_{10}$  and  $A_{11}$  are each independently alkylene or substituted alkylene;

each  $R_{26}$  is independently halo, alkyl, substituted alkyl, aryl, heteroaryl, cycloalkyl, substituted cycloalkyl, heterocycle, alkoxy, substituted alkoxy, cycloalkoxy, substituted cycloalkoxy, trifluoromethyl, cyano, nitro, hydroxy,  $NR_4R_5$ , or  $CO_2R_6$ ;

$R_{27}$  is hydrogen, alkyl, or substituted alkyl;

$R_{28}$  is an N-linked heteroaryl or an N-linked heterocycle;

$t$  is 0, 1, 2, 3, 4, or 5; and

$R_4$ - $R_6$  are each independently hydrogen, alkyl, or substituted alkyl;

wherein any aryl of  $A_{10}$ ,  $A_{11}$ ,  $R_{26}$ ,  $R_{28}$  and  $R_4$ - $R_6$  can optionally be substituted with from 1 to 5 substituents  $R_g$ ; wherein each  $R_g$  is independently selected from the group consisting of hydroxy, alkyl, substituted alkyl, alkoxy, cycloalkoxy, substituted cycloalkoxy, methanediol, ethanediol, cycloalkyl, substituted alkyl, substituted alkoxy, substituted cycloalkyl, amino, substituted amino, aryl, aryloxy, carboxy, carboxylalkyl, carboxyl(substituted alkyl), cyano, halo, nitro, heteroaryl, heteroaryloxy, heterocyclic, heterocycloxy, heteroaryl and trihalomethyl;

and wherein any heteroaryl of  $R_{28}$ ,  $A_{10}$ ,  $A_{11}$ ,  $R_{26}$ ,  $R_{28}$  and  $R_4$ - $R_6$  can be optionally substituted with 1 to 5 substituents  $R_h$ , wherein each  $R_h$  is independently selected from the group consisting of hydroxy, alkyl, alkoxy, substituted alkoxy, cycloalkoxy, substituted cycloalkoxy, substituted alkyl, arylalkyl, heteroarylalkyl, heterocyclealkyl, substituted cycloalkyl, amino, substituted amino, aryl, aryloxy, carboxyl, carboxylalkyl, carboxyl(substituted alkyl), cyano, halo, nitro, heterocyclic, and trihalomethyl [.] :

or a pharmaceutically acceptable salt thereof.

21. (original) The compound of claim 20 wherein  $A_{10}$  is methylene and  $A_{11}$  is methylene.

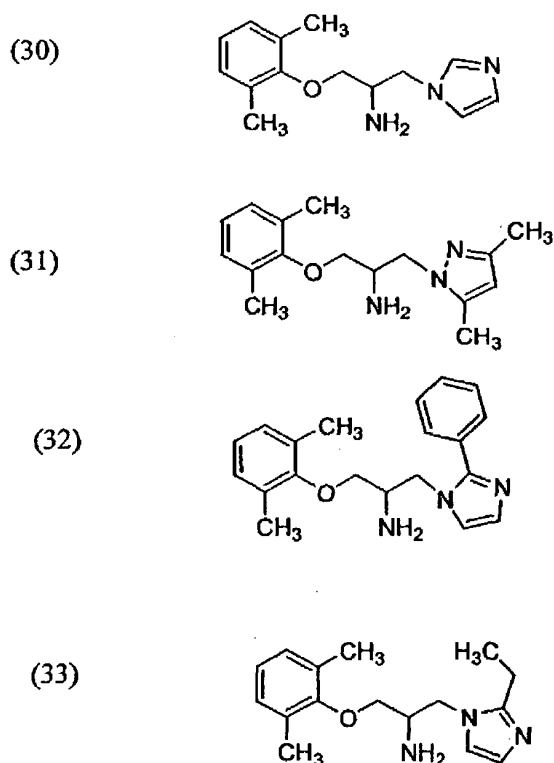
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22. (original) The compound of claim 20 wherein R<sub>27</sub> is hydrogen or methyl.
23. (original) The compound of claim 20 wherein R<sub>28</sub> is 3,5-dimethylpyrazol-1-yl, 2-phenylimidazol-1-yl, 2-ethylimidazol-1-yl, 1-benzimidazolyl, 4-(methoxycarbonyl)-imidazol-1-yl, 4-methyl-2-ethylimidazol-1-yl, or 4-phenyl-1-imidazol-1-yl.

Claims 24-27 (canceled)

28. (previously amended) The compound of claim 1, which is a compound selected from the group consisting of:

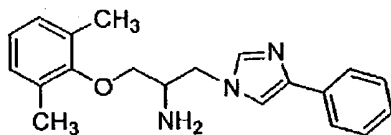




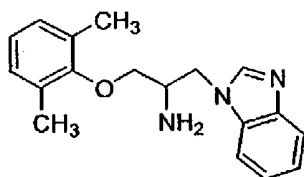
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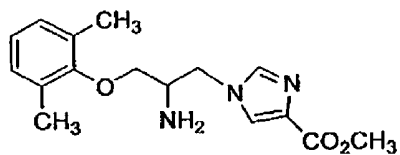
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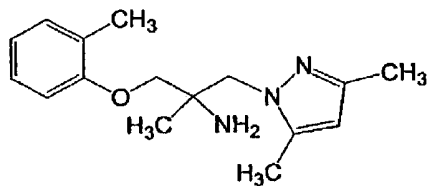
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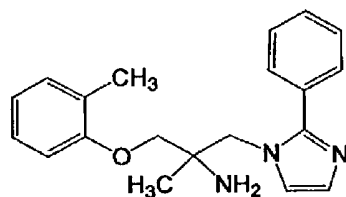
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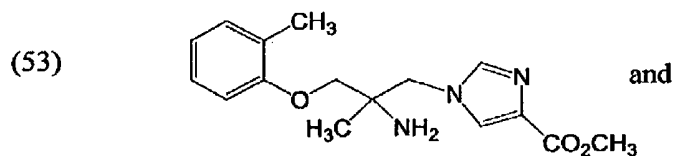
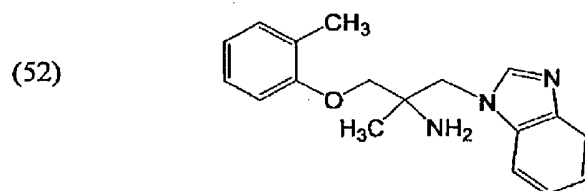
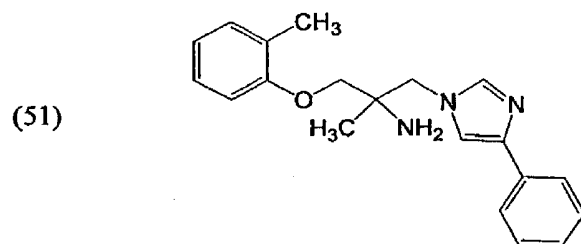
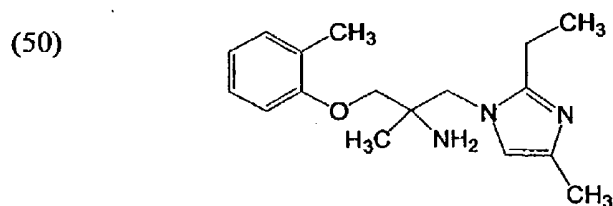
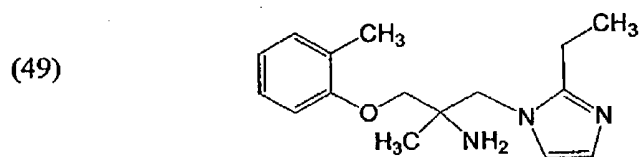


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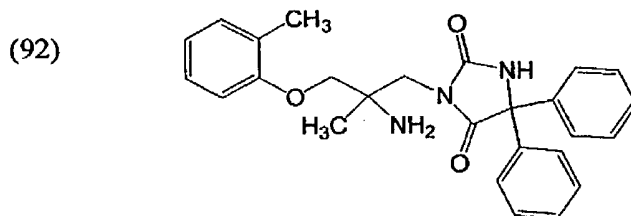
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or a pharmaceutically acceptable salt thereof.

29. (original) A pharmaceutical composition comprising a compound as described in claim 1; and a pharmaceutically acceptable carrier.
30. (original) A method of treating a disease or condition associated with sodium channel activity in a mammal, comprising administering to the mammal, a therapeutically effective amount of a compound as described in claim 1.
31. (original) The method of claim 30 wherein the disease or condition is neuropathic pain.
32. (original) A method of treating a disease or condition associated with sodium channel activity in a mammal, comprising administering to the mammal, a therapeutically effective amount of a pharmaceutical composition of claim 29.
33. (original) The method of claim 32 wherein the disease or condition is neuropathic pain.

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### REMARKS

1. Status of the Claims

Claim 20 has been amended. Upon entry of the above amendment, Claims 1-11, 20-23 and 28-33 will be pending for examination.

2. Amendments to the Claims

Claim 20 has been amended to more clearly delineate the optional substitution of R<sub>28</sub>. The punctuation has been amended to replace an inappropriate period with a semicolon. Support for this amendment can be found, for example, in original Claim 1.

3. Restriction Requirement

In the restriction requirement mailed May 5, 2004, the Examiner has required restriction to one of the following groups of claims:

- I. Claims 1-11, 28 and 29 drawn to a compound and composition classified in classes 544, 546, 548, and 514.
- II. Claims 20-23 drawn to a compound of formula (V) and classified in class 548.
- III. Claims 30-33 drawn to a method of treating a disease classified in class 514.

In response to the restriction requirement, Applicants elect to prosecute Group I, drawn to Claims 1-11, 28 and 29, with traverse.

Applicants submit that Claims 20-23 (classified as Group II) fall within the scope of Claim 1 (classified as Group I). Specifically, formula (V) of Claim 20 is a subset of formula (I) wherein R<sub>1</sub> is limited to phenyl, R<sub>2</sub> is a group of formula (II) wherein *n* is limited to 0 and R<sub>8</sub> takes the value of NH<sub>2</sub>. Therefore, searching the invention of a combined Group I-II as a whole would not be an undue burden on the Examiner.

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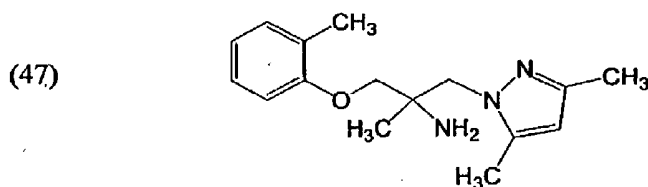
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Further, as noted on page 7 of the Restriction Requirement, with respect to the restriction between Groups I-II and Group III, , in accordance with MPEP §821.04 and *In re Ochaiai* (71F.3d 1565, 37 USPQ 1127 (Fed. Cir. 1995), method of use claims commensurate in scope with allowed product claims will be rejoined to the application upon the finding of allowability of product claims.

For at least the reasons described herein, Applicants respectfully request that the Restriction Requirement be withdrawn.

In response to the election of species requirement, Applicants elect Compound (47), shown below, which is depicted on page 18, the synthesis of which is described in Example 46 on pages 73-4. Claims 1-7, 10-11, 28 and 29 read on the elected species. (In addition, Claims 20-23 of Election Group II also read on elected Compound (47)).



The exact definition of each substitution on the base molecule of formulae (I) and (II) shown in Claim 1 (and Claim 20) is as follows:

Claim 1

R<sub>1</sub> is 2-methylphenyl;  
A<sub>1</sub> and A<sub>2</sub> are methylene;  
R<sub>7</sub> is methyl;  
n is 0;  
R<sub>8</sub> is NR<sub>10</sub>R<sub>11</sub>, wherein  
R<sub>10</sub> and R<sub>11</sub> are each hydrogen;  
X is a direct bond; and  
R<sub>3</sub> is 3,5-dimethylpyrazol-1-yl.

Claim 20

t is 1, R<sub>26</sub> is methyl,  
A<sub>10</sub> and A<sub>11</sub> are methylene;  
R<sub>27</sub> is methyl;  
R<sub>28</sub> is 3,5-dimethylpyrazol-1-yl.

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Should the Examiner wish to discuss any aspect of the present application at any time, the Examiner is invited to telephone the undersigned Agent for Applicants at (650) 808-6144.

Respectfully submitted,

Date: May 25, 2004  
THERAVANCE, INC.  
Attn: Legal Dept.  
901 Gateway Boulevard  
South San Francisco, CA 94080  
Tel: (650) 808-6000  
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Joyce Cohen, Reg. No. 44,622